

# Mutual Authentication Protocol for Low-cost RFID

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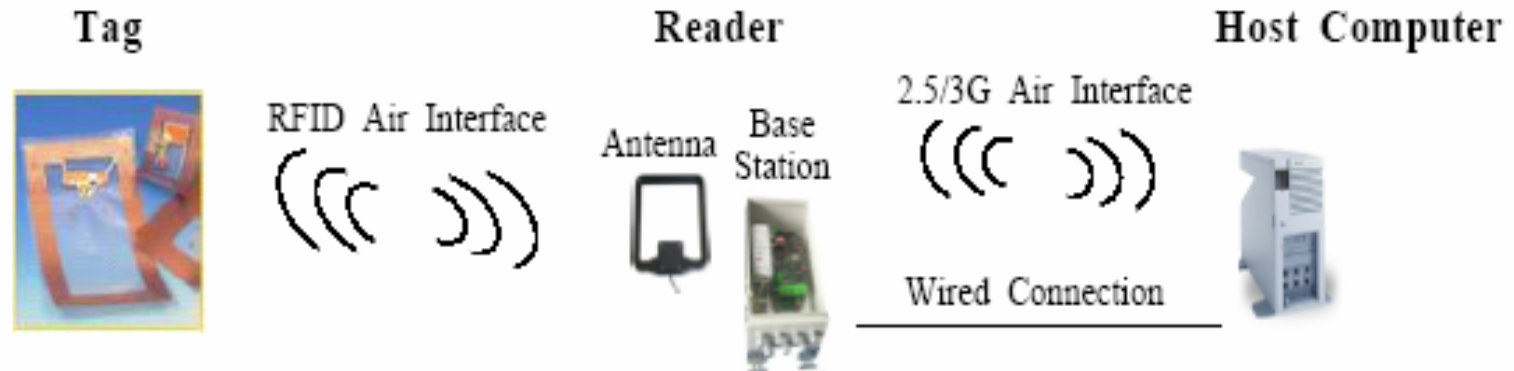
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# 1. Introduction (1/4)

## □ Typical RFID System



## □ Characteristics

- **ISO (Int. Standard), EPC (De-facto Standard)**
- **Air interface – 13,56 MHz, 915 MHz, etc.**
- **Asymmetric communication channel**
- **Collision avoidance IDs, Lock & Un-lock Mechanism, Pwd. Mgt.**
- **Tag cost**
  - » To 5-cents tag, the IC cost < 2 cents

# 1. Introduction (2/4)

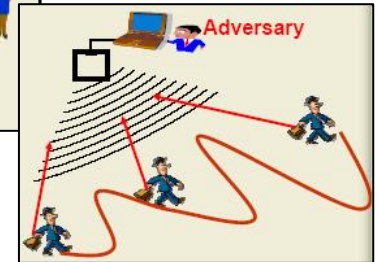
## ❑ Leakage of personal belongs data

- Leak data regarding belongings without awareness of user.



## ❑ Illegal ID tracking

- Monitor tag owners activities.

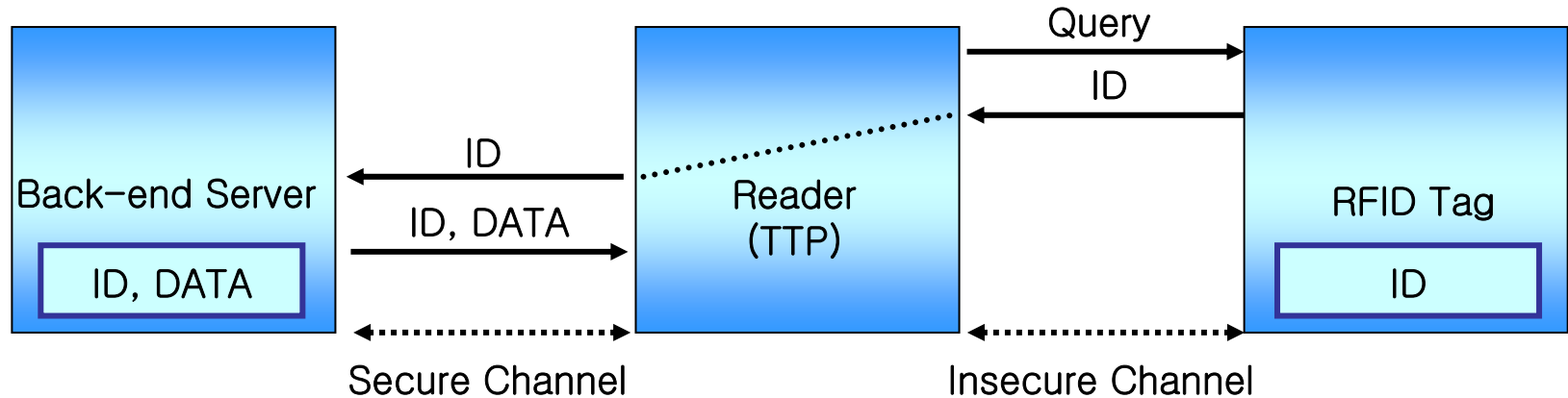


## ❑ Attacks

- Eavesdropping
- Man-in-the-middle attack (Impersonation, Spoofing)
- Replay attack
- Data loss (DoS, Message hijacking)
- Forgery (Decoy Tag, etc.)
- Physical attack

# 1. Introduction (3/4)

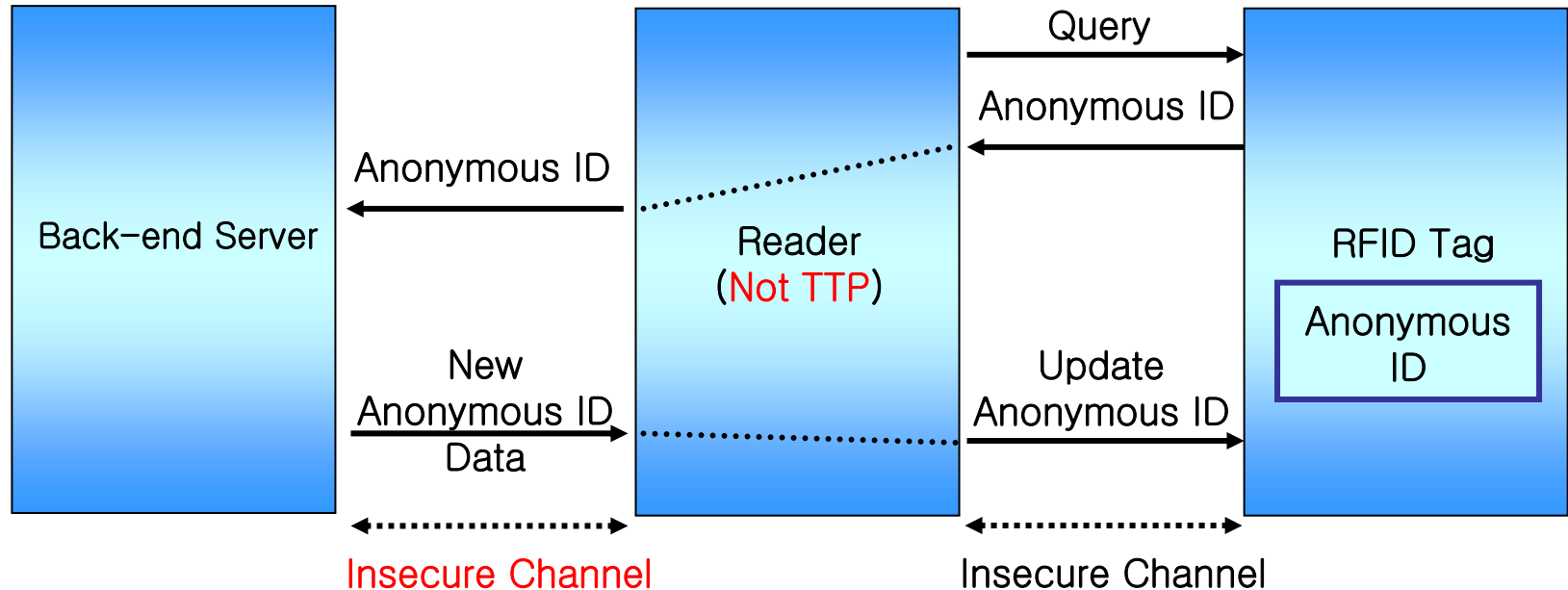
## □ RFID authentication



- **Low-cost RFID system environment**
  - » Light-weight primitives
- **Privacy protection for the tag bearers**
  - » Data privacy & location privacy must be guaranteed.
- **Security measure**
  - » Mutual authentication is needed.

# 1. Introduction (4/4)

- Secure authentication protocol for low-cost RFID system
  - Using a rewritable memory like EEPROM, hash in tags



- Meet low-cost RFID environment
- Guarantee privacy for tag bearers
- Satisfy confidentiality, anonymity, and integrity
- Robust against attacks

## 2. Preliminaries (1/4)

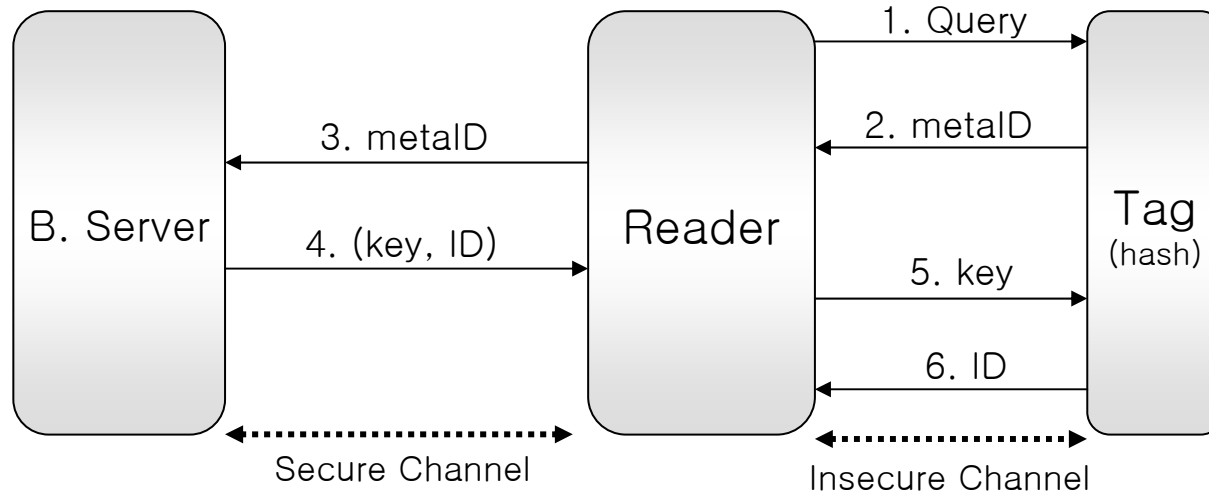
### □ One-way hash function

- Constrained resources of a tag
  - » # of gates is **7.5 ~ 15 K**, 100-bit EPC chip requires 5 ~ 10 K
  - » # of gates available for security < **2.5 ~ 5 K**
- Hash implementation
  - » **Ultra-Low-Power Universal Hash Functions, by Yüksel et al. in CNDS 2004. [9]**

Design	Dynamic Power		Leakage Power		Circuit Area		Maximum Delay	
	$\mu\text{W}$	%	$\mu\text{W}$	%	gates	%	ns	speedup
WH-64	452.3	100	9.36	100	1701	100	1.35	1.0
WH-32	217.5	48	4.81	51	873	51	1.31	1.0
WH-16	126.2	28	2.32	25	460	27	0.76	1.8

## 2. Preliminaries (2/4)

### □ Hash-lock Scheme (*Weis et al., SPC 2003. [14]*)



metalD, key, ID

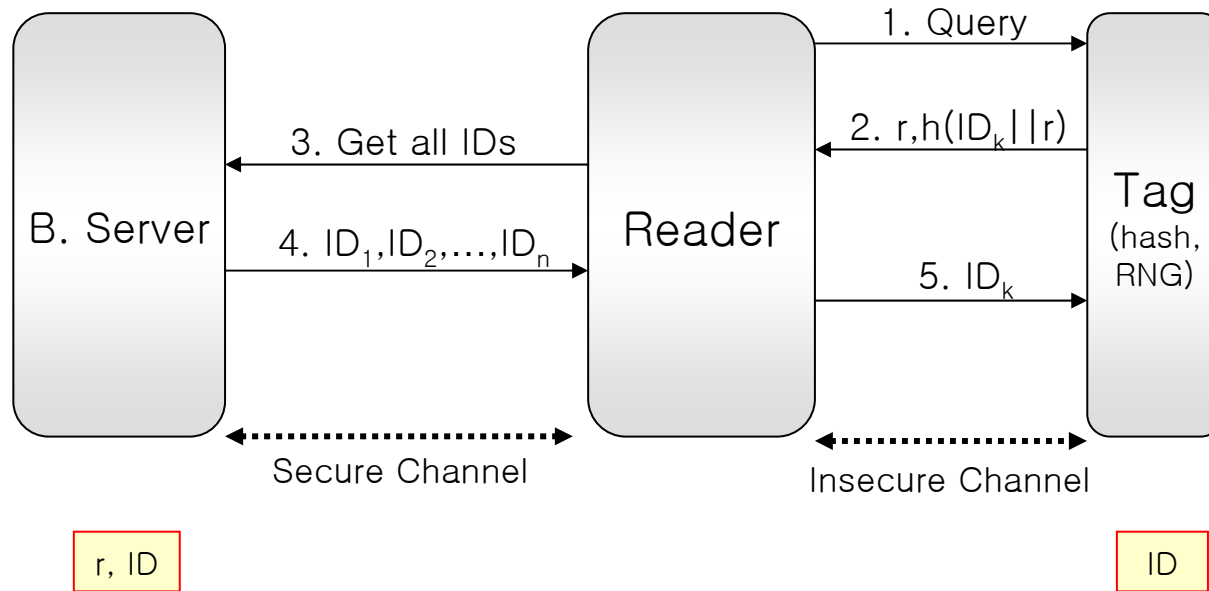
metalD, ID

$\text{metalD} = H(\text{key})$ , where  $H$  is a hash function

- The **metalD** itself is constant and will be the target of tracking.

## 2. Preliminaries (3/4)

### Extended Hash-lock Scheme (*Weis et al., SPC 2003. [14]*)

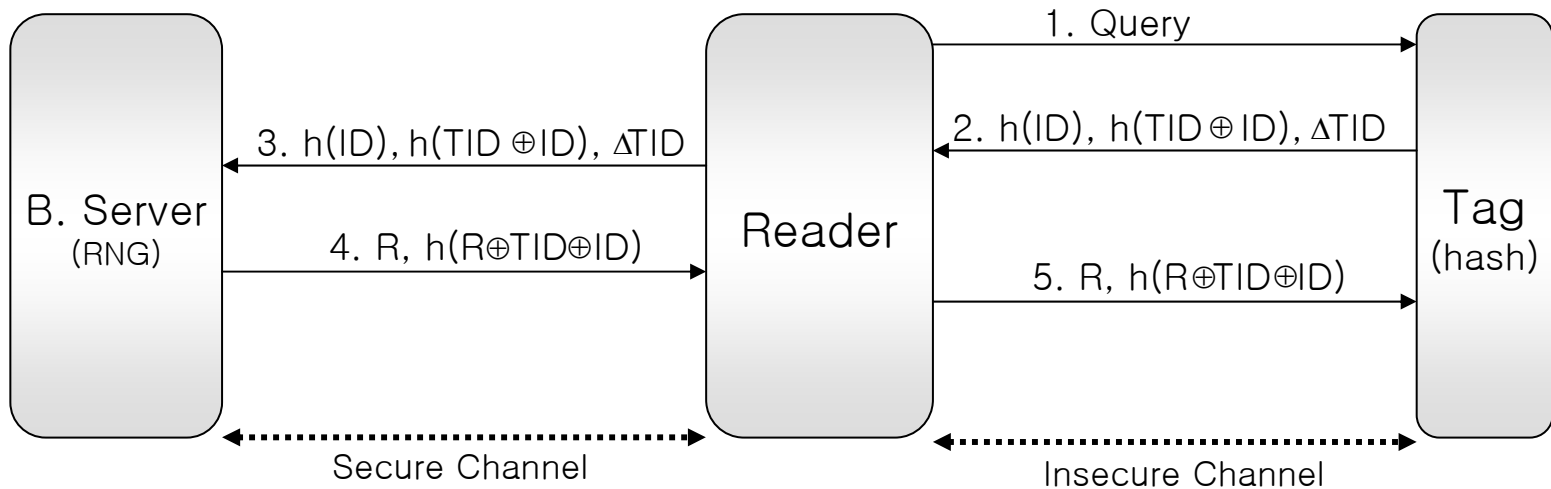


$r$  is generated by RNG of tag

- ID is randomized, but cannot prevent man-in-the-middle attack.
- Implementation issues on **RNG** for each tag.

## 2. Preliminaries (4/4)

### □ Hash-based Varying Identifier (*Herici et al., PerSec'04. [4]*)



HID, ID, TID, LST, AE, DATA

HID, ID, TID, LST, AE, DATA

ID, TID, LST

$R$  is generated by RNG of Back-end Server

- ID is randomized, but cannot prevent man-in-the-middle attack.
- Tag anonymity cannot be guaranteed until the next session.

# 3. Proposed Scheme (1/5)

## □ Notations

$T$	RF tag, or transponder.
$\mathcal{R}$	RF tag reader, or transceiver.
$\mathcal{B}$	Back-end server, it has a database.
$D$	A database of $\mathcal{B}$ .
$C$	Chip serial number that is embedded into $T$ during manufacturing.
$E_k()$	Symmetric-key cryptosystem based encryption function with the secret key, $k$ .
$D_k()$	Symmetric-key cryptosystem based decryption function with the secret key, $k$ .
$h()$	One-way hash function.
$h_k()$	Keyed hash function with the secret key $k$ .
$ID$	Temporary identification value of $T$ , it is used to make the shared secret $k_2$ randomized.
$ID'$	Temporary value to be used to make the shared secret $k_1$ randomized.
$k$	Secret key shared between $\mathcal{R}$ and $\mathcal{B}$ .
$k_1$	Shared random secret between $T$ and $\mathcal{B}$ .
$k_2$	Shared random secret between $T$ and $\mathcal{B}$ .
$RNG$	Random Number Generator.
$r$	Random number generated by $RNG$ of $\mathcal{R}$ .
$S$	Keyed one-way hash value of $h_k(r)$ .
$\oplus$	Exclusive-or (XOR) function.
$\stackrel{?}{=}$	Verification operator to check whether the left side are valid for the right side or not.
$\leftarrow$	Update operator from the right side to the left side.
$HID$	A field for the temporary identification value of $T$ and used as a primary index.
$T_1$	A field for the shared random secret, $k_1$ .
$T_2$	A field for the shared random secret, $k_2$ .
$AE$	A field for the pointer linking a pair of records each other to counteract for the data loss.
$CN$	A field for the chip serial number, $C$ , of $T$ .
$DATA$	A field for all other application related data of $T$ .

# 3. Proposed Scheme (2/5)

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## □ Assumptions

- **Hash Function**

- » Has desirable security like 1st, 2nd preimage resistance, and collision avoidance.

- **Tag ( $T$ )**

- » Has a hash function, XOR gate, and the capability to keep state during a single session.
- » Is passive and has re-writable memory like EPC class 2 of EPC Global.

- **Reader ( $R$ )**

- » Is not a TTP and has enough computational power.
- » Has a *RNG* and a keyed one-way hash function with symmetric key between the reader and the back-end server.

- **Back-end Server ( $B$ )**

- » Has sufficient capability to manage symmetric-key cryptosystem.

- **Insecure channel between reader and back-end server**

# 3. Proposed Scheme (3/5)

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## □ Attacking Model

- **Man-in-the-middle attack**

- » The attacker can impersonate as a legitimate  $R$  and get the information from  $T$ . → He can impersonate as the legitimate  $T$  responding to  $R$ .

- **Replay attack**

- » The attackers eavesdrop the response message from  $T$ , and can retransmit the message to the legitimate  $R$ .

- **Forgery**

- » The simple copy of  $T$  information by eavesdropping.

- **Data loss**

- » DoS, power interruption, and hijacking, *etc.*

- **Do not consider the physical attack**

# 3. Proposed Scheme (4/5)

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## □ Security Requirement

- **Data confidentiality**

- » To prevent the data privacy of  $T$  from the insecure data

- **Tag anonymity**

- » To prevent the location privacy of tag bearers

- **Data integrity**

- » Data integrity between  $T$  and  $B$  against data loss

- » Linkage between the authentication info. of  $T$  and  $T$  itself →  
Simple forgery is prevented

- **Detection for an illegitimate  $R$**

- » Replay attack and Man-in-the-middle attack are prevented.

# 3. Proposed Scheme (5/5)

## Our Protocol

**B**  
( $h(), h_k(), \oplus$ )

**R**  
( $RNG, h_k()$ )

**T**  
( $h(), \oplus$ )

$k_1, k_2, C$

$r, S = h_k(r)$

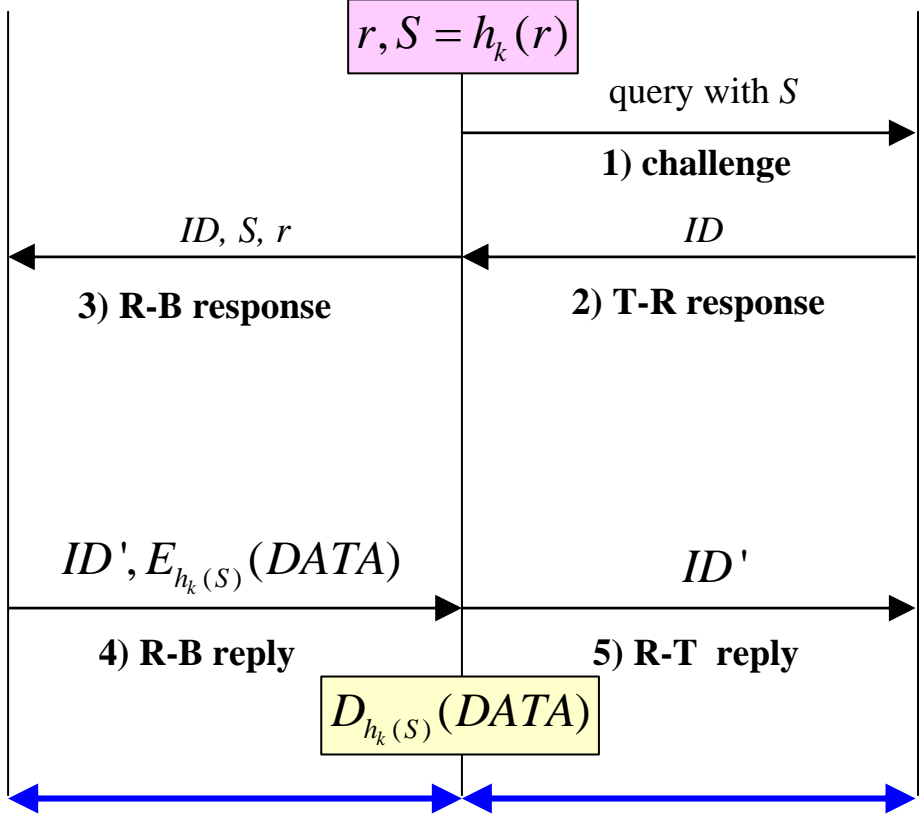
$k_1, k_2, C$

Verify  $S = ? h_k(r)$   
(abort if not)  
then  
Retrieve  $\langle k_1, k_2, C \rangle$   
from  $\langle T_1, T_2, CN \rangle \in D$   
Verify  $ID = ? h(k_1 \oplus h_k(r) \oplus C)$   
(abort if not)  
then  $ID' = h(k_2)$

$ID = h(k_1 \oplus S \oplus C)$

$k_1 \leftarrow k_1 \oplus ID'$   
 $k_2 \leftarrow k_2 \oplus ID$

Verify  $ID' = ? h(k_2)$   
(abort if not)  
then  
 $k_1 \leftarrow k_1 \oplus ID'$   
 $k_2 \leftarrow k_2 \oplus ID$



Insecure Channel

T1	T2	AE	CN	DATA
		↕		

ID	$k_1$	$k_2$

# 4. Correctness (1/4)

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## □ GNY Logic [20]

- L. Gong, R. Needham and R. Yahalom, “Reasoning about Belief in Cryptographic Protocols”, 1990 *IEEE Computer Society Synopsis on Research in Security & Privacy*.

## □ Correctness Proof of Our Scheme

- We applied the reasoning process of GNY logic to prove correctness of our protocol.
- Correctness of proof goals means two entities, T and B, share two secrets for every session and those secrets are fresh.
- Besides, two entities, R and B, shared the keys for providing reader authentication and secure message exchange.
- The proof goals are accomplished by the verification steps.

## 4. Correctness (2/4)

### □ Used GNY Constructs

$(X, Y)$	Concatenation of formulae	$\{X\}K$ $\{X\}K^{-1}$	Symmetric encryption and decryption
$P \ni X$	$P$ possesses or is capable of possessing formula. $X$	$P \sim X$	$P$ conveyed $X$ .
$P \equiv X$	$P$ believes $X$ .	$\sharp(X)$	The formula $X$ is fresh. $X$ has not been before the current run of the protocol.
$P \triangleleft X$	$P$ is told $X$ . $P$ has a received a message containing $X$ and $P$ can read and repeat $X$ .	$P \triangleleft \star(X)$	$P$ is told formula $X$ , not conveyed by $P$ during the current protocol run.
$X \rightsquigarrow C$	Message $X$ has the extension $C$ . The precondition for $X$ being conveyed is $C$ .	$P \Rightarrow X$	$P$ has jurisdiction over $X$ . The principal $P$ is an authority on $X$ .
$\phi X$	Formula $X$ is recognizable	$P \xleftrightarrow{K} Q$	$K$ is a suitable secret for $P$ and $Q$ . It may be used as a key or as a proof of identity.
$P \xleftrightarrow{K} Q$	$K$ is a secret known only to $P$ and $Q$ , and possibly to principals trusted by them. Only $P$ and $Q$ may use $X$ to prove their identities to one another. Often, $K$ is fresh as well as secret.		

## 4. Correctness (3/4)

### □ Proof Goals

1. $B \equiv T \sim \#(H(K1^t \oplus H_K(N_R)))$	2. $T \equiv B \sim \#(H(K2^t))$
3. $R \equiv R \xleftrightarrow{K} B$	4. $B \equiv R \xleftrightarrow{K} B$
5. $R \equiv R \xleftrightarrow{K_{RB}} B$	6. $B \equiv R \xleftrightarrow{K_{RB}} B$

- (1) and (2) for shared secrets between tag and back-end server
  - » (1) for the message from T
  - » (2) for the message from B
- (3-6) for shared keys between reader and back-end server
  - » (3) and (4) for a keyed hash function
  - » (4) and (6) for message encryption and decryption

# 4. Correctness (4/4)

## □ Verification

**Message 5**  $T \triangleleft \star(H(K2^i)) \rightsquigarrow T \ni K2^i$

32) The extension to the message,  $T \ni K2^i$ , is valid because it holds when the message is sent as is evident from the initial assumptions, A5.

33)  $T \triangleleft H(K2^i)$  : Applying T1, Being-Told Rule.

34)  $T \ni H(K2^i)$  : Applying P1, Possession Rule.

35)  $\frac{T \models \#(K2^i) \wedge T \ni H(K2^i)}{T \models \#(H(K2^i))}$  : Applying A7, and applying F10, Freshness Rule.

36)  $\frac{T \triangleleft \star(H(K2^i)) \wedge T \ni K2^i \wedge T \models T \xrightarrow{K2^i} B \wedge T \models \#(H(K2^i))}{T \models B \sim H(K2^i)}$  : Applying A5, A9, V33, and applying I3, Message Interpretation Rule.

37)  $\frac{T \models \#(H(K2^i)) \wedge T \models B \sim H(K2^i)}{T \models B \sim \#(H(K2^i))}$  : Applying V35, and applying F1, Freshness Rule. This is the

proof for P2,  $T \models B \sim \#(H(K2^i))$ .

applying A10, V21, and the freshness  $\#(K2^i, K_{RB})$  is straightforward, and applying I1, Message Interpretation Rule.

29)  $R \models B \sim R \xrightarrow{K_{RB}} B$  : Applying I7, Message Interpretation Rule.

30)  $\frac{R \models B \sim R \xrightarrow{K_{RB}} B \wedge B \models R \xrightarrow{K_{RB}} B}{R \models R \xrightarrow{K_{RB}} B}$  : Applying A20, and applying J1, Jurisdiction Rule. This is

the proof for P5,  $R \models R \xrightarrow{K_{RB}} B$ .

31) We omit the proof for P6 since, for the encrypted message with the key,  $K_{RB}$ , there is no further message exchange after this step. That is, the encrypted message of the entity, B, is replied to R and decrypted by R. Thus, the proof is not needed at this moment.

# 5. Analysis (1/3)

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## □ Security Analysis

- **Data confidentiality**

- » On data privacy of tag bearers

- ✓  $T$  does not store any privacy information of tag bearers.
- ✓ All messages from  $T$  are hashed, so eavesdropping is meaningless.

- » On Application data

- ✓  $E_{h_k(S)}(DATA)$  by  $B$ , and  $D_{h_k(S)}(DATA)$  by  $R$
- ✓  $h_k(S)$  : randomly created shared key between  $R$  and  $B$

- **Tag anonymity**

- » All outputs of  $T$  are anonymous for every read attempt with  $r$  of  $R$ .
- » Freshness of  $k_1$  and  $k_2$  is guaranteed for each session.
- » Location privacy is protected.

# 5. Analysis (2/3)






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## □ Security Analysis (cont.)

- **Data Integrity**

- » Synchronization between  $T$  and  $B$  by mutual authentication
- » Providing data recovery using a pair of DB records of  $B$
- » Providing Linkage between the authentication info. of  $T$  and  $T$  itself using the chip S/N

- **Availability**

- » Man-in-the-middle attack prevention → Step 3, and step 5 
- » Unauthorized reader detection → From step 1 to step 3 
- » Replay attack prevention → Step 3 for  $B$ , and step 5 for  $T$  
- » Forgery resistance →  $C$  of  $ID$  by  $B$  
- » Data recovery → Step 4 

# 5. Analysis (3/3)

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## □ Performance Analysis

- **Computational Overhead**

- ✓  $T$  needs only 2 hash calculation
- ✓ Encryption & decryption for insecure channel  $B$  needs  $2n$  of hash calculation, when  $n$  is number of  $T$

- **Storage Overhead**

- »  $T$  needs only  $2\frac{1}{2}L$  bits, when  $h, h_k : \{0, 1\}^* \rightarrow \{0, 1\}^{\frac{1}{2}L}$  and  $r \in_U \{0, 1\}^L$

- **Communication Overhead**

- » Message exchange: total – 5, between  $T$  and  $R$  - 2

- **Cost Overhead**

- » 1.7 K-gate/hash + several hundreds gates/XOR < 2.5 K ~ 5 K-gate  
➔ Feasible to 5 cents tag

## 6. Comparison (1/2)

### □ Security Comparison

Protocol	HLS [18]	EHLS [18]	HBVI [7]	Our Scheme
User data confidentiality	×	△	△	○
Tag anonymity	×	△	△	○
Data integrity	△	△	○	○
Mutual authentication	△	△	△	○
Reader authentication	×	×	×	○
Man-in-the-middle attack prevention	△	△	×	○
Replay attack prevention	△	△	○	○
Forgery Resistance	×	×	×	○
Data Recovery	×	×	○	○

†† Notation



satisfied



partially satisfied



not satisfied

## 6. Comparison (2/2)

### □ Performance Comparison

Protocol	Entities	HLS [18]	EHLS [18]	HBVI [7]	Our Scheme
No. of Hash Operation	$\mathcal{T}$	1	2	3	2
	$\mathcal{B}$	↯	$n$	3	2n
No. of Keyed Hash Operation	$\mathcal{R}$	↯	↯	↯	1
	$\mathcal{B}$	↯	↯	↯	1
No. of <i>RNG</i> Operation	$\mathcal{T}$	↯	1	↯	↯
	$\mathcal{R}$	↯	↯	↯	1
	$\mathcal{B}$	↯	↯	1	↯
No. of Encryption	$\mathcal{B}$	↯	↯	↯	1
No. of Decryption	$\mathcal{R}$	↯	↯	↯	1
Number of Authentication Steps		6	5	5	5
Required Memory Size	$\mathcal{T}$	$1\frac{1}{2}L$	$1L$	$3L$	$2\frac{1}{2}L$
	$\mathcal{R}$	↯	↯	↯	$1\frac{1}{2}L$
	$\mathcal{B}$	$2\frac{1}{2}L$	$1\frac{1}{2}L$	$9L$	$8L$

†† Notation    ↯ not required

$n$  number of tags                       $L$  size of required memory

- $L$  bits is assumed for the sizes of all components between protocols
- The outputs of hash function is  $\frac{1}{2}L$  bits
- Comparison for *DATA* is excluded since its size is depended on application.

# 7. Conclusion

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- ❑ **RFID will be important for the future ubiquitous society. However, RFID systems are vulnerable to many security risks and imply potential privacy problems.**
- ❑ **Different from previous results, our protocol is firstly proposed on the assumption that the communication channel between reader and back-end server is insecure and reader is not TTP.**
- ❑ **As based on strong mutual authentication between entities, our protocol is robust enough for security vulnerabilities and privacy problems, and is very feasible for low-cost RFID environment since tag only has a hash function with small memory size.**

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**Thanks for your attention!**

**Q&A**

# 3. Proposed Scheme (5/5)

## Our Protocol

**B**  
( $h(), h_k(), \oplus$ )

**R**  
( $RNG, h_k()$ )

**T**  
( $h(), \oplus$ )

$k_1, k_2, C$

$r, S = h_k(r)$

$k_1, k_2, C$

Verify  $S = ? h_k(r)$   
(abort if not)  
then  
Retrieve  $\langle k_1, k_2, C \rangle$   
from  $\langle T_1, T_2, CN \rangle \in D$   
Verify  $ID = ? h(k_1 \oplus h_k(r) \oplus C)$   
(abort if not)  
then  $ID' = h(k_2)$

$k_1 \leftarrow k_1 \oplus ID'$   
 $k_2 \leftarrow k_2 \oplus ID$

$ID = h(k_1 \oplus S \oplus C)$

Verify  $ID' = ? h(k_2)$   
(abort if not)  
then  
 $k_1 \leftarrow k_1 \oplus ID'$   
 $k_2 \leftarrow k_2 \oplus ID$

query with  $S$

1) challenge

$ID, S, r$

$ID$

3) R-B response

2) T-R response

$ID', E_{h_k(S)}(DATA)$

$ID'$

4) R-B reply

5) R-T reply

$D_{h_k(S)}(DATA)$

Insecure Channel

Insecure Channel

